All SAEs that are not completed at time of SAE line listing submission must be included on subsequent line listings until completion is confirmed.
Non-CTIMP SAE line listing guidance

1. Study centre: List centre name/number - If numbers are utilised ensure that the Sponsor is provided with a listing of corresponding site names.
2. Subject study ID: Provide details of subject’s unique study Identification Number. Note: No personal identifiable data must be used.
3. Date of SAE: Provide date of onset of SAE
4. Date study team aware: provide the date the study team were made aware of the SAE
5. Type of report: List relevant number in column;
   a. 1 – Initial
   b. 2 - Follow up
   c. 3 - Final
   d. 4 - Initial and Final
6. Title of event: List the name of the event
7. Serious criteria: List relevant number in column;
   a. 1 - Resulted in death
   b. 2 - Life threatening
   c. 3 - In-patient hospitalisation/prolongation of existing hospitalisation
   d. 4 - Persistent or significant disability/incapacity
   e. 5 - Congenital anomaly/birth defect
   f. 6 - Other
8. Assessment of relationship to procedure/intervention. State whether the event is considered related or unrelated
9. Outcome of event: List relevant number in column;
   a. 1 - Resolved
   b. 2 - Resolved with sequelae
   c. 3 - Ongoing
   d. 4 - Unknown at present
   e. 5 – Fatal (Where an event is fatal, the Sponsor will require further information with regards to cause of death)
10. Date of event resolution: List the date the event was considered completed i.e. the date when all the information relating to this event has been captured and there will be no further information to obtain. All SAES (excluding fatalities) must be followed up until resolution or until the condition has stabilised with no further change expected.

Non-CTIMP multi-centre serious adverse event line listing Table, Version 3.0, SEPT 2021 Appendix 3 to SOP S-1009 UoL

Page 2 of 2