

**Statement on Dealing with Allegations of Research Misconduct Under United States Public Health Service (USPHS) Research-related Activities for Foreign Institutions.**

**The University of Leicester** has incorporated into its policies and procedures the following approach for dealing with and reporting possible research misconduct when USPHS funds are involved.

1. **The University of Leicester** will designate an official to receive allegations and develop procedures for use by research employees or others who wish to make an allegation of research misconduct involving USPHS funds. This designated official will notify the U.S. Office of Research Integrity (ORI) when an allegation of research misconduct involving USPHS funds is received. Phone: (240) 453-8800. Fax: (301) 594-0043. E-mail: [AskORI@hhs.gov](mailto:AskORI@hhs.gov).
2. **The University of Leicester** will then work with ORI or other appropriate offices of the U.S. Department of Health and Human Services (HHS) to develop and implement a process for responding to the research misconduct allegation that is consistent with U.S. Federal regulation, 42 CFR Parts 50 and 93.
3. **The University of Leicester** will submit appropriate reports (in English) to ORI that describe the process followed in conducting the investigation, the evidence on which the conclusions of the investigation are based, and if a finding of research misconduct is made, the administrative actions that are taken against the respondent.
4. **The University of Leicester** will inform research employees about the official who is designated to receive allegations and the procedures for the employee or other individuals to make an allegation of research misconduct involving USPHS supported research. This information will also be posted on the organization's web site.
5. **The University of Leicester** certifies that this statement will be a permanent amendment to the institution's procedures for responding to allegations of research misconduct.
6. **The University of Leicester** will submit the "Annual Report on Possible Research Misconduct" to ORI by April 30<sup>th</sup> of each year. The report is submitted electronically through the ORI web site at <https://ori.hhs.gov>.

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Responsible Official's Name: Professor Philip Baker

Responsible Official's Title: Pro Vice Chancellor for Research & Enterprise



Responsible Official's Signature:

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Date Signed: 26/04/2023