



# Modern slavery and human trafficking statement 2022-23

## Introduction from the Chief Financial Officer

Modern slavery is a crime and a violation of fundamental human rights. It takes various forms, such as slavery, servitude, forced and compulsory labour and human trafficking, all of which have in common the deprivation of a person's liberty by another in order to exploit them for personal or commercial gain.

We are committed to improving our practices to combat slavery and human trafficking.

**This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes our slavery and human trafficking statement for the financial year ending 31<sup>st</sup> July 2023.**

## Organisational structure

We are a leading UK university in the higher education sector, committed to delivering world changing research and high quality, inspirational teaching. We have approximately 22,800 students and 4,300 staff. In 2022-23, we had an income of £369m and an expenditure of £358m (including capital expenditure).

We are governed by Council and Senate. We are managed by the Executive Board, chaired by the President and Vice-Chancellor.

Academic disciplines are organised into three Colleges:

- Science and Engineering
- Life Sciences
- Social Sciences, Arts and Humanities

Each College is divided into a number of schools and is led by a Pro-Vice-Chancellor & Head of College, who sits on the Executive Board. These Pro-Vice-Chancellors, as well as those responsible for Education and Research & Enterprise, report to the Provost and Deputy Vice-Chancellor.

Our Professional Services, located in the academic departments, colleges and Corporate Services, work in partnership with academics to support the academic mission of the University. They report to the Deputy Vice Chancellor (Professional Services).

## Our supply chains

We categorise our procurement spend as follows: *(% split based on 2022-23 spend profile, where total procurement spend was £113m)*

Estates/Construction	29.9%	Travel & Accommodation	10.1%	Audio Visual	0.8%
Professional Services	24.8%	Catering	1.8%	Domestic/Cleaning	0.5%
IT & Telecommunications	15.4%	Library	1.3%	Postal Services	0.2%
Laboratory & Medical	14.1%	Furniture	1.0%	Office Supplies	0.2%

We have undertaken a high-level risk assessment of our contracts, identifying where supply chains extend into sectors and territories that are high risk in terms of the potential presence of modern slavery. The high risk sub-categories identified are estates-construction, IT-hardware, catering supplies and services, specialist cleaning services, taxis, laboratory supplies (gloves), and personal protective equipment/workwear/sports kit/promotional leisurewear.

### **Our policies on slavery and human trafficking**

Our workplace policies and procedures demonstrate our commitment to acting ethically and with integrity in all our business relationships. We are committed to ensuring that there is no modern slavery in our supply chains or in any part of our business. Our HR policies and procedures reflect UK employment law.

Our [Whistleblowing Policy](#) provides guidance to staff, students, members of its Council and other members of the University on the procedure for the disclosure of information which, in that person's reasonable belief, is in the public interest and tends to show one or more types of malpractice, impropriety or dangers as specified in the Policy.

Our Procurement Regulations require compliance with the Modern Slavery Act 2015 (see clause 4.1.5. (c))

Our Sustainable Procurement Guidance Note makes explicit reference to the Modern Slavery Act 2015, with modern slavery included within the pre-procurement risk assessment tool. The Guidance Note prompts consideration of the appropriateness of using labour standards (including ILO core conventions) as selection criteria.

Our Treasury Management Policy includes a commitment to make investments in an ethically responsible manner.

### **Due diligence processes for slavery and human trafficking**

As well as being reflected in our policies and procedures, the requirements of the Modern Slavery Act 2015 are built into our working documents. The risk of modern slavery within the supply chain is flagged and mitigated within the sourcing strategy checklist/template, pre-qualification/tender documents and our standard terms and conditions.

Our standard tender documents include modern slavery questions enquiring as to bidders' supply chain risk mapping, contract workforce protections (including comprehensive induction covering workplace rights), evidence of modern slavery auditing, follow-up and collaborative action with NGOs, trade unions and other businesses. Our library of tender questions, to be used where appropriate, covers bidders' use of recruitment agencies and recruitment fee breakdowns, including confirmation and evidence that the employer pays these fees (including repatriation costs).

We have used [NetPositive Futures' Supplier Engagement Tool](#) to allow our suppliers to access the tool free of charge to create a Sustainability Action Plan for their business. Where relevant, the tool identifies actions for the supplier to take in order to mitigate the risk of modern slavery within its supply chains.

As a founding member of [Electronics Watch](#) we are able to build EW labour standards clauses into our contracts for IT hardware, and receive compliance reports from EW monitoring organisations on factories which manufacture products ultimately supplied to the University.

Our pre-qualification/tender documents for Estates procurement signpost the training and resources available from [StrongerTogether](#) to help with identifying, and responding to, signs of modern slavery.

Our contract summary template, which is completed by the Procurement Unit/category managers once a contract is awarded, focusing contract managers on the key contract deliverables, performance measures and risks etc., includes a modern slavery risk rating.

We continue to engage with other universities and higher education purchasing consortia, not least the North Eastern Universities Purchasing Consortium (NEUPC) of which we are a member, to agree how best our combined resource may be used to identify and review/monitor risks of modern slavery in our supply chains.

## Supplier adherence to our values

We have zero tolerance to modern slavery. As well as taking mitigating measures through the procurement/contract management process (including adding appropriate pre-qualification/tender questions and standard contract clauses), we have expressed this policy, explained the Modern Slavery Act and the related measures we have added to our processes, at local supplier events.

## Training

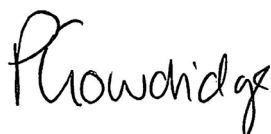
The Procurement Unit has received Modern Slavery training from NetPositive Futures, the University of Greenwich and the Ethical Trading Initiative, and undertaken the Government Commercial College's 'Tackling Modern Slavery in Supply Chain' online training modules.

All staff involved in procurement are made aware of the risks and indicators of modern slavery in our supply chains, along with the mitigating measures detailed above, as part of our rolling in-house Procurement training.

## Our effectiveness in combating slavery and human trafficking

In 2022-23, we invested in Social Value Portal (SVP) alongside the two other Leicestershire based universities (De Montfort and Loughborough), and Leicester City Council, to build measured social value commitments into contracts, then track their delivery during the contract period, with SVP's support. Of the 37 social value commitments/measures from which bidders can select when tendering, two relate to modern slavery:

Commitment/Measure	Proxy	Units
No. of full time equivalent employees (FTE) hired on the contract that are survivors of modern slavery	£20,429.00	no. people FTE
Initiatives taken throughout the local and global supply chain to strengthen the identification, monitoring and reduction of risks of modern slavery and unethical work practices occurring in relation to the contract (i.e. supply chain mapping, staff training, contract management)	£ invested including staff time	



**Paul Gowdridge**

**Chief Financial Officer  
University of Leicester**

8<sup>th</sup> November 2023